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DEC - 2 1999

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> MYRA J. SCHMITT COMMITTEE DIRECTOR

SENATE

COMMITTEE ON ADMINISTRATION

November 30, 1999

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SENERY:

The Honorable John Cornyn Attorney General P.O. Box 12548 Austin, Texas 78711-2548

DEC 01 1999

1153 Liz Robinson

Dear Mr. Attorney General:

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cc.' [). []

I would like to request an opinion on the following:

Where a transaction is one which is excluded from coverage pursuant to Tex. Bus. & Comm. Code Sec. 9.104 (now recodified as Sec. 9.109 (d)), is the filing subject to the provisions of Tex. Bus. & Comm. Code Sec. 9.412 (recodified as Sec. 9.5185)?

Please feel free to call me or Peggy Dodson in my district office at 817/861-9333 if you have any questions pertaining to this request.

Sincerely,

Chris Halan