



**OFFICE OF THE ATTORNEY GENERAL  
LAW ENFORCEMENT TELEPHONE SOLICITATION ACT  
Tex. Bus. & Comm. Code Ann., Ch. 303 (West 2009)**

**REGISTRATION FORM**

Charitable Trusts Section  
Financial and Tax Litigation Division  
P.O. Box 12548  
Austin, Texas 78711-2548

<input type="checkbox"/> Initial Registration <input type="checkbox"/> Renewal Registration
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1. Registrant name: \_\_\_\_\_

Assumed name (dba) or publication name:

\_\_\_\_\_

Street Address: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_ Fax: (\_\_\_\_) \_\_\_\_\_

Federal Tax ID# \_\_\_\_\_ State Tax Employer ID# \_\_\_\_\_

2. Attach a list providing the mailing address, street address, phone number and fax number of each office, chapter, local unit, branch or affiliate of registering organization.

3. Name and address of organization's registered agent:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. Name, title, address and phone numbers of each officer, director, and executive director or other chief operating officer:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. Name of each officer, director, or employee who is either compensated by the organization or has custody and control of funds of the organization:

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6. Name of each officer, director, or employee who has been convicted of or pleaded nolo contendere to a misdemeanor involving fraud or the theft, misappropriation, misapplication, or misuse of property of another, or any felony, including the offense, and state, court and date of each conviction or plea of nolo contendere:

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7. Incorporation date: \_\_\_\_\_ State of incorporation: \_\_\_\_\_

Date organization began doing business: \_\_\_\_\_

Fiscal Year Ends: \_\_\_\_\_(Month/Day)

8. If not incorporated, type of organization and date established:

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9. Provide a statement describing the organization's charitable purposes and a list of the programs for which funds are solicited:

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10. List the names, addresses and telephone numbers of the organization's accountants and auditors, and the method of accounting used:

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11. Provide the name and address of each commercial telephone solicitor, including subcontracted commercial telephone solicitors, engaged by the organization during the preceding 12 months (attach additional sheets as necessary):

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Name: \_\_\_\_\_

Address: \_\_\_\_\_

12. The total amount of contributions received during the preceding 12 months:

\$ \_\_\_\_\_

13. The total amount paid to commercial telephone solicitors during the preceding 12 months:

\$ \_\_\_\_\_

14. The total fund-raising costs during the preceding 12 months:

\$ \_\_\_\_\_

15. Has the organization applied for and been granted tax exempt status by the IRS?

No  Yes Date of Application \_\_\_\_\_

Date Exemption granted: \_\_\_\_\_ IRS Exempt Code 501(c) \_\_\_\_\_

16. Has tax exemption ever been denied, revoked or modified?:  Yes  No  
If yes, date of action: \_\_\_\_\_

17. Is the organization eligible to receive tax-deductible contributions under § 170, IRS Code of 1986 (26 U.S.C § 170)?  Yes  No

### ATTACHMENTS

1. Attach a copy of the organization's most recently filed IRS Form 990 and other federal tax returns, including all supplements, amendments and attachments to those returns, and any requests for extension to file those returns. If the organization has not filed a 990, attach a statement as to the reason none is filed, and a copy of the organization's most recent financial statements, including audited financial statements, if any have been prepared.
2. Attach \$50.00 filing fee payable to the Attorney General of Texas.
3. Attach a signed copy of the commercial telephone solicitor statement obtained from each commercial telephone solicitor and each subcontracted telephone solicitor:

**COMMERCIAL TELEPHONE SOLICITOR STATEMENT**

I, \_\_\_\_\_, an authorized representative of:

\_\_\_\_\_ declare I am engaged by the registering organization to perform telephone solicitations. I have complied with all state and local registration laws.

\_\_\_\_\_  
Printed name

\_\_\_\_\_  
Signature

REGISTRATION UNDER THIS ACT DOES NOT IMPLY ENDORSEMENT BY THE STATE OF TEXAS OR THE ATTORNEY GENERAL, AND ORGANIZATIONS AND ENTITIES ARE PROHIBITED FROM STATING OR IMPLYING TO THE CONTRARY.

**REGISTRANT AFFIDAVIT**

I, \_\_\_\_\_, an authorized representative of :

\_\_\_\_\_,  
declare that the registering organization has attempted in good faith to comply with all applicable Texas, county, and municipal ordinances regarding telephone solicitations and hereby certify that the above information contained in the registration statement and all attachments to the statement are true, correct, and complete, to the best of my knowledge.

\_\_\_\_\_  
Signature of affiant

Sworn to and subscribed before me on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
NOTARY PUBLIC

**COMMERCIAL TELEPHONE SOLICITOR'S BOND**  
**Tex. Bus. & Comm. Code Ann. Ch. 303 (West 2009)**

**TEXAS DEPARTMENT OF INSURANCE REFERENCE NUMBER: TDI-98-BB155-PF**

BOND NUMBER: \_\_\_\_\_

KNOW ALL PERSONS BY THESE PRESENTS:

That, we \_\_\_\_\_  
\_\_\_\_\_, as Principal, whose  
address is \_\_\_\_\_,  
and \_\_\_\_\_, as Surety, and being a  
surety company authorized to do business in the State of Texas, are held and firmly bound unto the  
State of Texas, in the sum of FIFTY THOUSAND DOLLARS (\$50,000.00), lawful money of the  
United States of America, payable to the state for the use and benefit of the state or any injured party,  
by reason of the Principal's failure to comply with the provisions of Tex. Rev. Civ. Stat. Ann. Art.  
9023e (Vernon's 1997)(the "Act"), the payment of which well and truly to be made, we, and each  
of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and  
severally, firmly by these presents.

THE CONDITIONS OF THE ABOVE OBLIGATIONS ARE SUCH THAT:

Whereas, the above Principal is engaged in the business of commercial telephone solicitation  
for an organization related to law enforcement in accordance with the provisions of the Act;

NOW, THEREFORE, if the Principal shall conduct the business of said Principal in  
accordance with the provisions of the Act, and if said Principal in the course and scope of the  
business of said Principal shall not damage any person by any violation of the Act, then this  
obligation shall be void, otherwise to remain in full force and effect.

THIS BOND IS SUBJECT TO THE FOLLOWING CONDITIONS:

1. This bond shall also be construed to be in favor of any person damaged by any violation  
of the Act, including the Texas Attorney General in his capacity as protector of the public  
interest and enforcer of the provisions of the Act.
2. That any person injured by violation of this Act may bring an action against the Principal  
and Surety on this bond.

3. That the aggregate liability of the Surety for any claim arising under this bond shall not exceed the actual damages for the Principal's violation of this Act which damages shall include, but not be limited to, civil penalties and costs, expenses and attorney's fees recoverable under the Act. In no event shall the aggregate liability of the Surety for any and all claims which arise under this bond exceed the amount of the bond.

4. The bond shall not be subject to cancellation by either the Principal or the Surety unless written notice of intention to cancel is forwarded by the Surety and/or the Principal to the Secretary of State, Statutory Documents Section, at least ninety (90) days prior to the effective date of the cancellation. If the cancellation is at the request of the Surety, the Surety shall also provide the Principal with written notification at least ninety (90) days prior to the effective date of cancellation.

In witness whereof, said PRINCIPAL AND SURETY have executed this bond, this

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

SURETY BY: \_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Printed Name)

Title: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Countersigned by: \_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Printed Name)

PRINCIPAL: \_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Printed Name & Title)